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FILE # 01946/1001864-USADATE: 2/3/05NUMBER TRANSMITTING TO: 703-308-7751RECIPIENT: Corrected Filing Receipt DivisionCOMPANY: United States Patent and Trademark OfficeFROM: Flynn BurrisonNO. OF PAGES TRANSMITTING
(INCLUDING COVER SHEET)*: 8CONFIRMATION OF THIS TRANSMISSION WILL FOLLOW BY
FIRST CLASS MAIL (Y/N): NO

COMMENTS:

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2/3/05 Julian Williams
Date Name
Julian Williams
Signature

Customer No.: 07278

Docket No.: 01946/100D864-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David Gschneidner et. al.

Serial No.: 09/762,067

Filed: August 3, 2001

For: COMPOUNDS AND COMPOSITIONS FOR DELIVERING ACTIVE AGENTS
.....REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted.

Error in**Correct data**

Applicant's name

David Gschneider, Stamford CT
Andrea Leone-Bay, Ridgefield, CT
Eric Wang, Ellicott City MD
Lynn Errigo, Port Chester, NY
Koc-Kan Ho, Mount Kisco, NY
Jeffrey Bruce Press, Brewster, NY
Nai Fang Wang, Long Island City, NY
Ping Wah Tang Elmsford, NY



Applicant's address



Title



Filing Date



Application Number



Foreign/PCT Application Re:



Other

Remarks:

Enclosed please find a copy of the executed declaration.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: February 2, 2005



Flynn Barrison
Reg. No. 53,970
Agent for Applicant(s)

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UNITED STATES PATENT AND TRADEMARK OFFICE

01946/100D864US1

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
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| 09/762,067 | 08/03/2001 | 1654 | 2160 | 1946/1D864-U | | 17 | 3 |

CONFIRMATION NO. 7883

07278

DARBY & DARBY P.C.

P. O. BOX 5257

NEW YORK, NY 10150-5257

CORRECTED FILING RECEIPT



OC000000014865961

Date Mailed: 01/03/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David Gschneidner, Stamford, CT;
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Eric Wang, Ellicott City, MD;
Lynn Errigo, Port Chester, NY;
Koc-Kan Ho, Mount Kisco, NY;
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Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US99/17974 08/06/1999
which claims benefit of 60/095,778 08/07/1998
which claims benefit of 60/098,500 08/31/1998
which claims benefit of 60/108,366 11/13/1998
which claims benefit of 60/119,207 02/05/1999

Foreign Applications

If Required, Foreign Filing License Granted: 01/03/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US09/762,067**

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

Title

Compounds and compositions for delivering active agents

Preliminary Class

424

-LICENSE FOR FOREIGN FILING UNDER -
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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US

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
(Includes Reference to PCT International Applications)ATTORNEY DCKET NUMBER
1946/1D864US1

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed for and which a patent is sought on the invention entitled:

COMPOUNDS AND COMPOSITIONS FOR DELIVERING ACTIVE AGENTS

the specification of which (check only one item below):

- ☐ is attached hereto.
- ☐ was filed as United States application

Serial No. _____

on _____

and was amended

on _____ (if applicable).

- ☒ was filed as PCT international application

Number PCT/US99/17974on 06 August 1999

and was amended under PCT Article 19

on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

| COUNTRY (or PCT indicia PCT) | APPLICATION NUMBER | DATE OF FILING (day, month, year) | PRIORITY CLAIMED UNDER 35 U.S.C. 119 | |
|---------------------------------|--------------------|--------------------------------------|---|--|
| PCT | PCT/US99/17974 | 06 August 1999 | <input type="checkbox"/> YES | <input checked="" type="checkbox"/> NO |
| US | 60/095,778 | 07 August 1998 | <input checked="" type="checkbox"/> YES | <input type="checkbox"/> NO |
| US | 60/098,500 | 31 August 1998 | <input checked="" type="checkbox"/> YES | <input type="checkbox"/> NO |
| US | 60/108,366 | 13 November 1998 | <input checked="" type="checkbox"/> YES | <input type="checkbox"/> NO |
| US | 60/119,207 | 05 February 1999 | <input checked="" type="checkbox"/> YES | <input type="checkbox"/> NO |

US

| Combined Declaration for Patent Application and Power of Attorney (Continued) (Includes Reference to PCT International Applications) | | | | ATTY'S DOCKET NUMBER 1946/10864US1 | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|--|---|--|-----------|---|---|---|----------|---------|-------------------------|------------------|----------|---------|-----------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| <p>I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in said/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: left; padding: 2px;">U.S. APPLICATIONS</th> <th colspan="3" style="text-align: left; padding: 2px;">STATUS (Check one)</th> </tr> <tr> <th style="text-align: left; padding: 2px;">U.S. APPLICATION NUMBER</th> <th style="text-align: left; padding: 2px;">U.S. FILING DATE</th> <th style="text-align: left; padding: 2px;">PATENTED</th> <th style="text-align: left; padding: 2px;">PENDING</th> <th style="text-align: left; padding: 2px;">ABANDONED</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table> | | | | | | U.S. APPLICATIONS | | STATUS (Check one) | | | U.S. APPLICATION NUMBER | U.S. FILING DATE | PATENTED | PENDING | ABANDONED | | | | | | | | | | | | | | | |
| U.S. APPLICATIONS | | STATUS (Check one) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| <p>PCT APPLICATIONS DESIGNATING THE U.S.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 2px;">PCT APPLICATION NO.</th> <th style="text-align: left; padding: 2px;">PCT FILING DATE</th> <th style="text-align: left; padding: 2px;">U.S. SERIAL NUMBER ASSIGNED (if any)</th> <th style="text-align: left; padding: 2px;">PATENTED</th> <th style="text-align: left; padding: 2px;">PENDING</th> <th style="text-align: left; padding: 2px;">ABANDONED</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table> | | | | | | PCT APPLICATION NO. | PCT FILING DATE | U.S. SERIAL NUMBER ASSIGNED (if any) | PATENTED | PENDING | ABANDONED | | | | | | | | | | | | | | | | | | | |
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| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Morris Reison #15,108, Gordon D. Cuplein #19,165, William F. Dudino, Jr. #20,569, Michael J. Swoodler #19,937, S. Peter Ludwig #25,351, Paul Fields #20,298, Joseph B. Lerch #26,936, Melvin C. Garner #26,272, Ethan Hurwitz #27,646, Beverly B. Goodwin #28,417, Adda C. Gogoris #29,714, Martin E. Goldstein #20,869, Bert J. Lewan #19,407, Henry Sternberg #22,408, Patar C. Schechter #31,662, Robert Schaffer #31,194, Robert C. Sullivan, Jr. #30,499, and Joseph R. Robinson #33,448</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; padding: 2px; vertical-align: top;"> <p>Send Correspondence to:</p> <p style="text-align: center;">LESSLER, Jay P. DARBY & DARBY P.C. 805 Third Avenue New York, New York 10022-7513</p> </td> <td style="width: 40%; padding: 2px; vertical-align: top;"> <p>Direct Telephone Calls to: (name and telephone number)</p> <p style="text-align: center;">(212) 527-7700 LESSLER, Jay P.</p> </td> </tr> </table> | | | | | | <p>Send Correspondence to:</p> <p style="text-align: center;">LESSLER, Jay P. DARBY & DARBY P.C. 805 Third Avenue New York, New York 10022-7513</p> | <p>Direct Telephone Calls to: (name and telephone number)</p> <p style="text-align: center;">(212) 527-7700 LESSLER, Jay P.</p> | | | | | | | | | | | | | | | | | | | | | | | |
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| 2 | FULL NAME OF INVENTOR | FAMILY NAME GSCHNFIDNER | FIRST GIVEN NAME David | SECOND GIVEN NAME | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 0 | RESIDENCE & CITIZENSHIP | CITY Stamford | STATE OR FOREIGN COUNTRY Connecticut | COUNTRY OF CITIZENSHIP US | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 | POST OFFICE ADDRESS | POST OFFICE ADDRESS 44 Cerretta Street | CITY Stamford | STATE & ZIP CODE/COUNTRY CT 06907, US | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | FULL NAME OF INVENTOR | FAMILY NAME LEONE-BAY | FIRST GIVEN NAME Andrea | SECOND GIVEN NAME | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 7 | POST OFFICE ADDRESS | POST OFFICE ADDRESS 20 Woodland Way | CITY Ridgfield | STATE & ZIP CODE/COUNTRY CT 06877, US | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | FULL NAME OF INVENTOR | FAMILY NAME WANG | FIRST GIVEN NAME Eric | SECOND GIVEN NAME | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 0 | RESIDENCE & CITIZENSHIP | CITY Perryhall | STATE OR FOREIGN COUNTRY Maryland | COUNTRY OF CITIZENSHIP US | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 2 | FULL NAME OF INVENTOR | FAMILY NAME ERRIGO | FIRST GIVEN NAME Lynn | SECOND GIVEN NAME | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 0 | RESIDENCE & CITIZENSHIP | CITY Port Chester | STATE OR FOREIGN COUNTRY New York | COUNTRY OF CITIZENSHIP US | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | POST OFFICE ADDRESS | POST OFFICE ADDRESS 85 Birch Street | CITY Port Chester | STATE & ZIP CODE/COUNTRY NY 10573, US | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | FULL NAME OF INVENTOR | FAMILY NAME HO | FIRST GIVEN NAME Koc-Kan | SECOND GIVEN NAME | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 0 | RESIDENCE & CITIZENSHIP | CITY Mount Kisco | STATE OR FOREIGN COUNTRY New York | COUNTRY OF CITIZENSHIP NY | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | POST OFFICE ADDRESS | POST OFFICE ADDRESS 70 Barker Street | CITY Mount Kisco | STATE & ZIP CODE/COUNTRY NY 10549, US | | | | | | | | | | | | | | | | | | | | | | | | | | |

| | | | | |
|---|-------------------------|---------------------|--------------------------|--------------------------|
| 2 | FULL NAME OF INVENTOR | FAMILY NAME | FIRST GIVEN NAME | SECOND GIVEN NAME |
| 0 | RESIDENCE & CITIZENSHIP | CITY | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHIP |
| 6 | POST OFFICE ADDRESS | POST OFFICE ADDRESS | CITY | STATE & ZIP CODE/COUNTRY |
| 2 | FULL NAME OF INVENTOR | FAMILY NAME | FIRST GIVEN NAME | SECOND GIVEN NAME |
| 0 | RESIDENCE & CITIZENSHIP | CITY | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHIP |
| 7 | POST OFFICE ADDRESS | POST OFFICE ADDRESS | CITY | STATE & ZIP CODE/COUNTRY |
| 2 | FULL NAME OF INVENTOR | FAMILY NAME | FIRST GIVEN NAME | SECOND GIVEN NAME |
| 0 | RESIDENCE & CITIZENSHIP | CITY | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHIP |
| 8 | POST OFFICE ADDRESS | POST OFFICE ADDRESS | CITY | STATE & ZIP CODE/COUNTRY |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issuing thereon.

| | | |
|---------------------------|---------------------------|---------------------------|
| SIGNATURE OF INVENTOR 201 | SIGNATURE OF INVENTOR 202 | SIGNATURE OF INVENTOR 203 |
| DATE 2/12/01 | DATE 2-6-01 | DATE |
| SIGNATURE OF INVENTOR 204 | SIGNATURE OF INVENTOR 205 | SIGNATURE OF INVENTOR 206 |
| DATE 2/14/01 | DATE | DATE |
| SIGNATURE OF INVENTOR 207 | SIGNATURE OF INVENTOR 208 | |
| DATE 2/14/01 | DATE 2/14/01 | |